Combining Models



EECS 498 (Remote) Lecture 21

Reminders

- Due next Monday, 4/6
 - PC5 (Cooperative Testing) due 4/6
 - PC6 (Sprint Review 3) due 4/6, delivered as YouTube video
 - Please also upload your raw video to Google Drive (so others can download)
 - SR3: Please review group feedback
- No lectures next week
 - Instead, you will use the time to review each group's SR3 video presentation
- PC7 (Final Presentations) will be a scheduled telecon with your team
 - Schedule a 30 minute block here: https://calendar.google.com/calendar/selfsched?sstoken=UVBaMkN5bk9KelVRfGRlZmF1bHR 8Mjk4MTllNjJjODMyODdkODk3MzU4YjNmNWlxZDUyNTl
 - Try to have most/all your team members present for that

Review: Evaluating ML Models

- Model performance is evaluated with respect to True Positives, True Negatives, False Positives, and False Negatives
- Evaluated with respect to binary tasks over an evaluation set
 - Intent classification: did the model correctly classify intent X?
 - NB: weight or average performance on a per-intent basis
 - Slot extraction: did the model correctly classify a token as slot y?
 - NB: weight or average performance on a per-slot label basis
- We can use TP/TN/FP/FN stats to compute **Precision**, **Recall**, F_1 score, and **Accuracy**







Review: True and False Positives

- We can compute a **confusion matrix** based on the output of the model for **each utterance** in the **evaluation set**
 - (can be done on a per-intent or per-slot basis, or averaged)

Ground-truth		Predicted in class X	Predicted not in X			
Actually in class X	50	45 (True Positives)	40 (True Negatives)			
Actually not in class X	50	5 (False Positives)	10 (False Negatives)			

 From the confusion matrix, we can compute Precision, Recall, and Accuracy scores

WON'T HAVE ANY FALSE POSITIV

Review: Precision, Recall, F_1 , Accuracy

- These scores characterize the mistakes made by a classification model
- Precision
 - Fraction of actual in-class values compared to all predicted in-class values
 - TP / (TP + FP), also called the Positive Predictive Value
- Recall
 - Fraction of predicted in-class values compared to all actual in-class values
 - TP / (TP + FN), also called the Sensitivity
- F_1 score
 - Combination of precision / recall to account for both types of error
 - p*r/(p+r) = TP/(TP+FP+FN)
- Accuracy
 - Fraction of correctly classified vales over all classifications
 - (TP + TN) / (TP + TN + FP + FN)

Review: Model Evaluation Considerations

- Slot Extraction: Train by labeling portions of utterance
 - Yo fam get me a burger.
 - O B:person O B:person O B:food
- In larger utterances, most tokens are O
 - Do we care as much as Precision/Recall for O tokens?
 - Consider: is identifying whether a token is *any* slot the same as identifying its *slot label*?
- Remember: the true and false positives and negatives mean something in the context of your task. Don't blindly apply statistics.

Review: Datasets and Overfitting

 When evaluating models, we practice a discipline notion of diving datasets

• 7	raining set	Utterances used to compute weights in NN
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- Development set Utterances used to fine-tune the NN and debug
- Evaluation set Utterances used to evaluate performance (e.g., F1)
- It is **critical** that these datasets do not overlap
 - We risk **overfitting** to the training data
 - A model is not useful if it's only super good at classifying training data...





Overfitting in Conversational Al

- Virtual assistants can become overfit:
 - Intent Classification
 - Crowdsourcing: insufficient diversity from prompt biasing
 - e.g., Banking Assistant: what if you only ask for rephrasals that use the work "checking"?
 - Insufficient scoping leads to classification failures
 - e.g., Banking Assistant: what if you only think of checking/savings, but not about IRA accounts?
 - Slot Extraction
 - Insufficient token diversity
 - e.g., Banking Assistant: cheques, checks, savings, money market, CD
 - Insufficient context diversity
 - e.g., Banking Assistant: what if all utterances are of the form "... from X to Y..." instead of "to Y from X"? (slot ordering may be overfit)

- Embeddings are **compact**, **semantics-preserving** vector representations of individual **words** (or other NLU elements)
- Embeddings are derived from Neural Network weights near the input layer (called an embeddings layer)

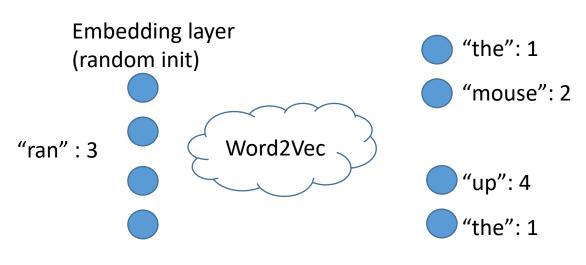
• Example: Word2Vec is trained to predict surrounding words given an

input word in a sentence

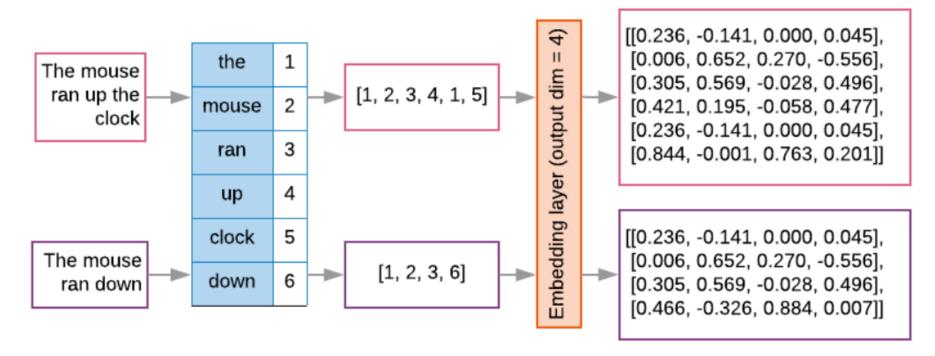
The mouse ran up the clock.

[1, 2, 3, 4, 1, 5]

The	1
Mouse	2
Ran	3
Up	4
Clock	5
Down	6



- Embeddings can capture **semantic relationships** between words
 - e.g., for Word2Vec, the network learns words that frequently co-occur within some small 5-word span
- Dimensionality depends on the size of the embeddings layer



Words that are related semantically should be close in the

embedding space

Document x

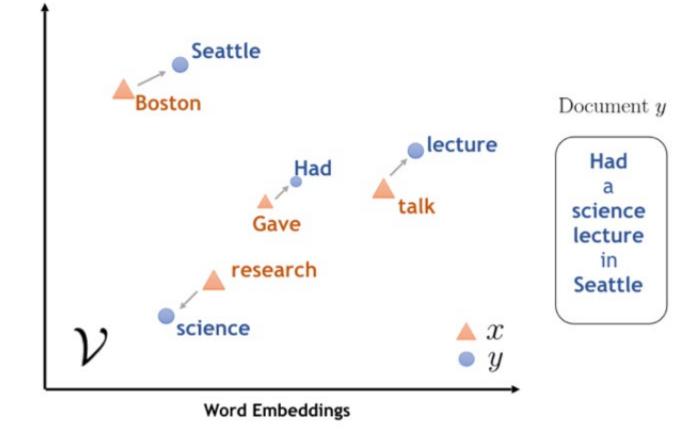
Gave

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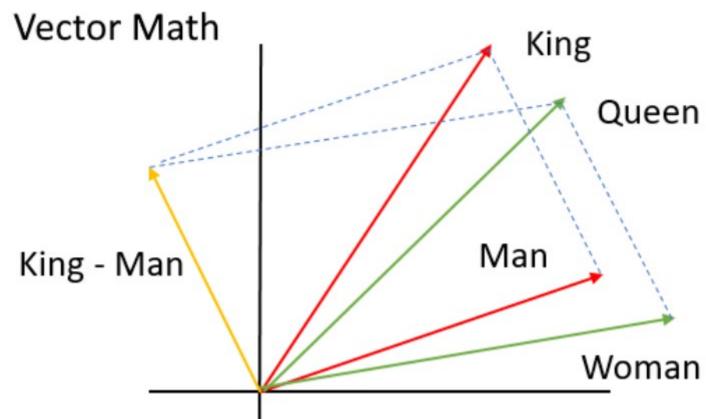
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 Once we move into the embedding space, we desire arithmetic properties that preserve semantic relationships

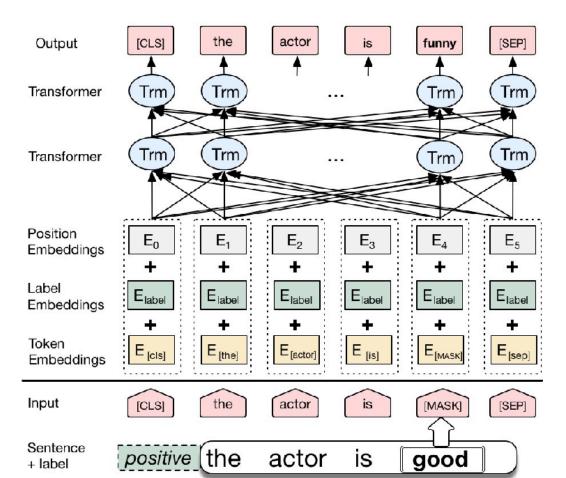
Note: King – Man + Woman= Queen



Review: Bert

• Bert is an advanced language model from we can derive contextual

embeddings



Review: Bert

• Input representation consists not only of token-level embeddings, but also position and label embeddings

• Allows embeddings to capture context (position relative to other tokens) and semantics (the embeddings must 'learn' to compensate in the presence of a

MASK Position. E_0 E_2 E_3 E_5 Embeddings Label Elabel E_{label} E_{label} E_{label} Elabel Elabel Embeddings Token E [is] E_[sep] E_[actor] Embeddings Input the actor is [MASK] [CLS] [SEP]

One-Slide Summary: Model Combinations

- Bert is itself a combination of many pieces... How does it work?
 - Attention / Transformer
 - WordPiece Vocabulary

- NLU pipelines consist of Intent Classification and Slot Extraction
 - Slot Mapping comes later, but may or may not involve a model
 - Downstream or end-to-end performance can be very different from individual model performance

A Deeper Dive on Bert

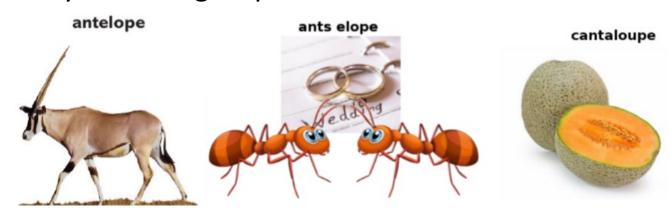
- Bert uses WordPiece vocabulary as the input representation
 - WordPiece represents pieces of words in sequence

```
conversational artificial intelligence:
['conversation', '##al', 'artificial', 'intelligence']
conversationl artifcial intelligence:
['conversation', '##l', 'art', '##if', '##cial', 'intelligence']
```

- Pieces of words are mapped to unique vocabulary identifiers (i.e., numbers)
- Allows *some* robustness against misspellings
 - RoBERTa takes this a step further
 - FastText is another representation for robustness against misspellings

A Deeper Dive on Bert

- WordPiece is an example vocabulary that attempts handling some amount of out-of-vocabulary tokens
 - **Consider:** words like "Big Mac" or "Deloitte" may not directly map to typical English words... what if they aren't present in our vocabulary at training time?
 - **Recall:** Lexical analysis. How do we break up tokens in an utterance?
 - Morphological normalization can help reduce vocabulary size
 - WordPiece uses Subwords: frequently-occurring sequences of characters



A Deeper Dive on Bert

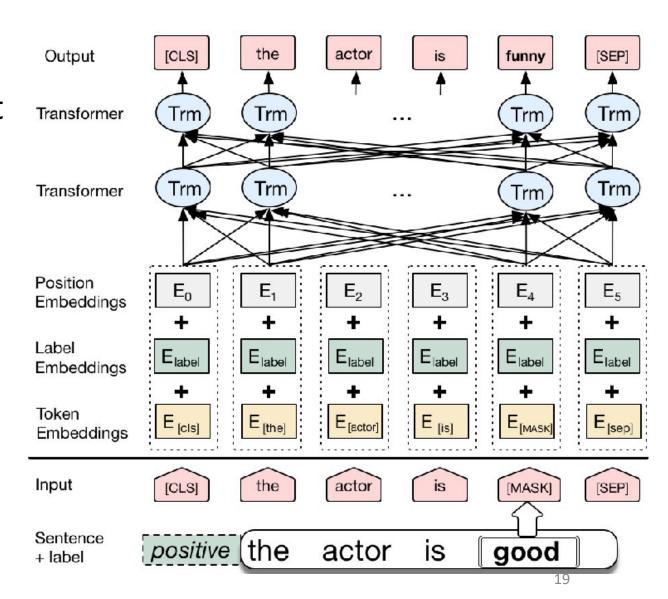
- Bert: Bidirectional Encoder Representations from Transformers
 - Bidirectional: Bert represents a language model that works in both directions
 - i.e., left-to-right and right-to-left.
 - e.g., Predict X in "... X jumps over the lazy dog" <- only has right-sided context
 - Bert can **learn** from **both left-** and **right-sided** context in input sequences
 - Encoder: Basically the same thing as an embedding
 - Technically, encoders encompass all layers that lead up to the embedding
 - Representations: a method for representing data
 - An Encoder Representation is like an embedding
 - **Transformers**: A type of neural architecture that applies well to NLP
 - Also, robots in disguise



Bert: Bidirectional

- The architecture of Bert allows it to *learn* from context on both sides of each token
 - Contextual embeddings

- Transformers enable this behavior
 - For each word, the NN accounts for the attention it should give to all other words in the input

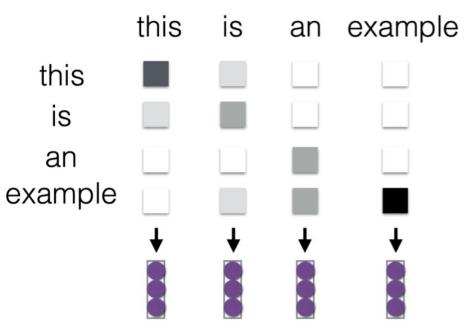


Bert: Encoder Representations

- An Encoder is a NN is produces embeddings
 - In the case of Bert, this produces a robust contextual representation by accounting for
 - Positional information of each token (i.e., is it the 1st, 2nd, 3rd, etc. word in the sentence?)
 - Encoding information from other tokens in the sequence
 - As the model trains, these encodings learn from contexts in which the tokens appear
- In particular, Bert's Encoders use self-attention
 - Attention is a formal notion of relative importance
 - **Recall:** RNNs consider each word at a time each inference step (usually) has limited information about other tokens
 - The Attention mechanism allows learning a representation of importance
 - "The cat ate its food." <- Attention learns: "cat" important for "its"; "ate" important for "food"

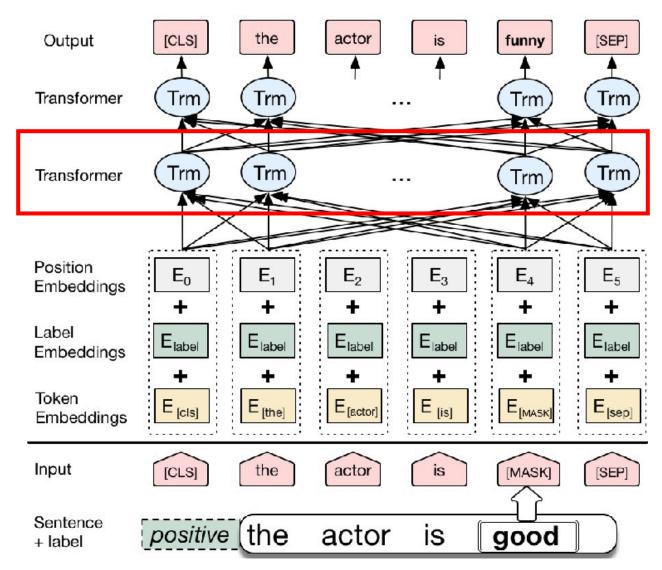
Bert: Encoder Representations and Attention

- Bert uses a self-attention mechanism
 - Attention consists of a separate NN (a sub-graph of Bert as a whole)
 - The NN learns **relative importance** of words in a **sequence** over a whole **corpus**
 - The NN can attend to both left and right context (bidirectional)
 - Predicting "this" doesn't really depend on "an" or "example"
 - "is" is kind of important
 - "example" depends on "an" and "is" -> hinting at correct sequence of words
 - Attention critically important in NLP!
 - Translation makes use of attention



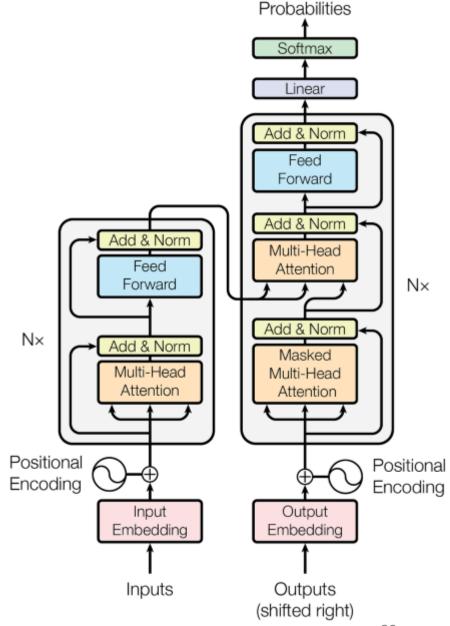
Bert: Attention Explained More

- The self-attention mechanism causes learned embeddings to reflect attention
 - That is, the embeddings for one token are forced to account for the attention given to other tokens in the sequence
- The first Transformer (the Encoder) is fed input from all tokens in the sequence
 - Self-attention allows it to encode while modulating for relative importance



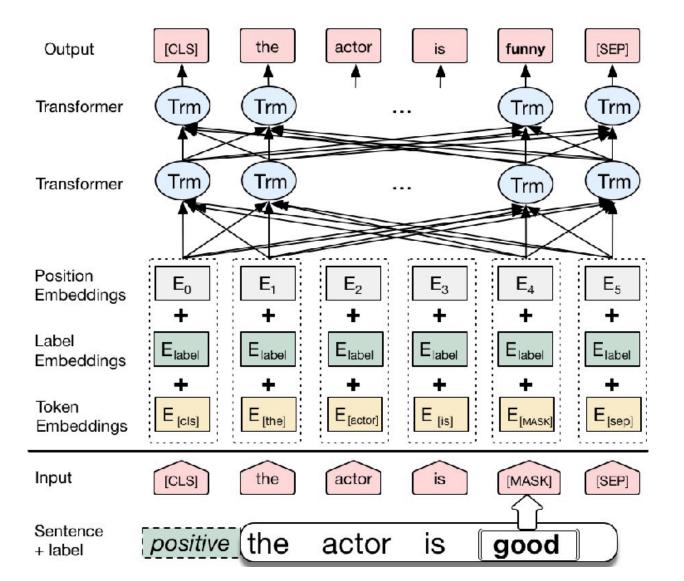
Bert: What is a Transformer?

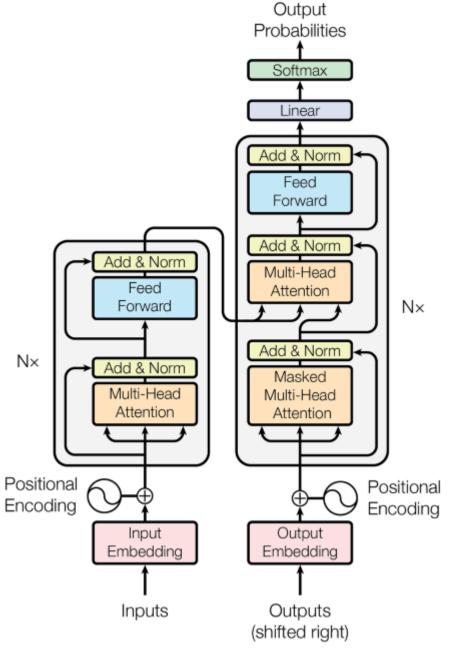
- Bert uses Transformers to help learn context from sequences
- A Transformer consists of an Encoder and Decoder with selfattention
 - Recall: Encoder is a NN that produces some embedding
 - Decoder: turns an embedding vector into a vocabulary identifier
 - Attention: a sub-NN that allows learning relative importance of tokens in a sequence



Output

Bert: Transformers





Bert: Summary

- We have discussed Bert as a mechanism for acquiring robust contextual embeddings
- In practice, Bert can do a lot more
 - The word embeddings were more of a nice "side effect" of the architecture
 - Sentence Prediction
 - Given one sequence of words, predict the next sequence
 - Question-answering
 - Learns relationships between question sequence inputs and answer sequence outputs
- Bert is unwieldy
 - 11GB of VRAM to run?

When your little brother has an RTX 2080 GPU but doesn't know about Deep Learning.



Bert: Semantic Entailment

System	MNLI-(m/mm)	QQP	QNLI	SST-2	CoLA	STS-B	MRPC	RTE	Average
	392k	363k	108k	67k	8.5k	5.7k	3.5k	2.5k	-
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.9	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	88.1	91.3	45.4	80.0	82.3	56.0	75.2
BERT _{BASE}	84.6/83.4	71.2	90.1	93.5	52.1	85.8	88.9	66.4	79.6
BERT _{LARGE}	86.7/85.9	72.1	91.1	94.9	60.5	86.5	89.3	70.1	81.9

MultiNLI

<u>Premise</u>: Hills and mountains are especially sanctified in Jainism.

Hypothesis: Jainism hates nature.

Label: Contradiction

CoLa

<u>Sentence</u>: The wagon rumbled down the road.

<u>Label</u>: Acceptable

Sentence: The car honked down the road.

Label: Unacceptable

Bert: Logical Analysis

A girl is going across a set of monkey bars. She

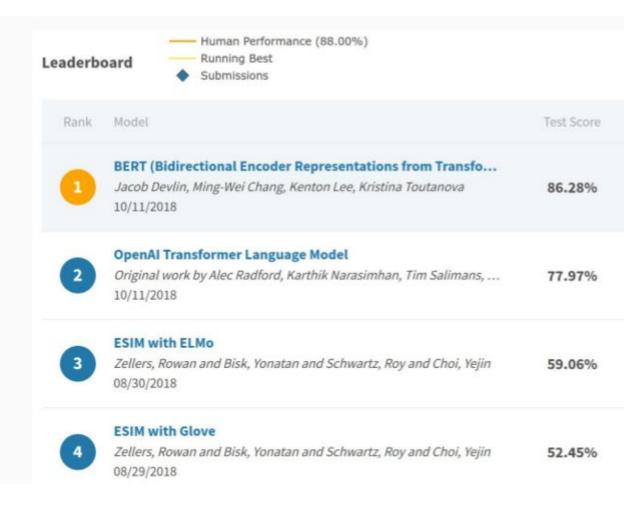
(i) jumps up across the monkey bars.

(ii) struggles onto the bars to grab her head.

(iii) gets to the end and stands on a wooden plank.

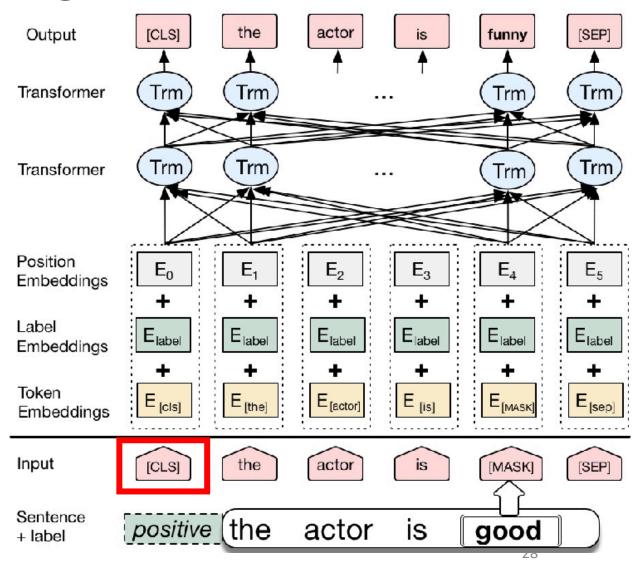
(iv) jumps up and does a back flip.

- Run each Premise + Ending through BERT.
- Produce logit for each pair on token 0 ([CLS])



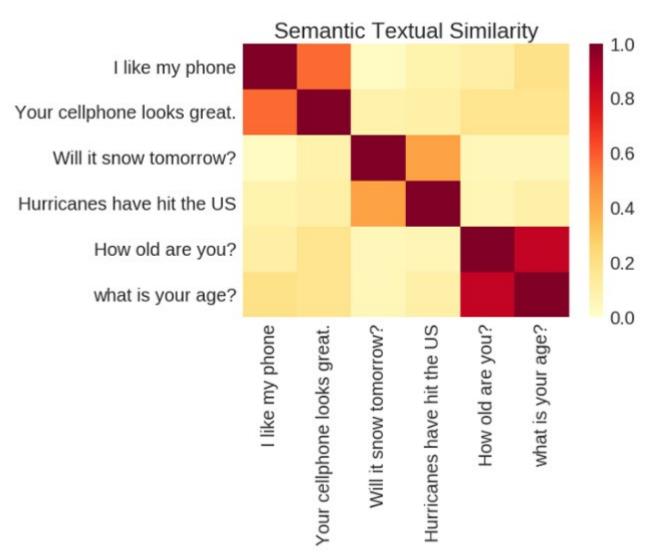
Bert: Sentence Embeddings

- The [CLS] token is meant to represent the start of a sentence
 - **Consider:** The model supposedly learns context in part from position
 - Every sentence "starts" with [CLS]
- No matter what sentence is given, [CLS] always involves context learned from every other word
 - Thus, the embeddings for [CLS] are a rich representation of the whole sentence



Sentence Embeddings in General

- Embed sentences into vector space
- Useful for comparing sentences semantically
- Word embeddings are used in addition to positional information



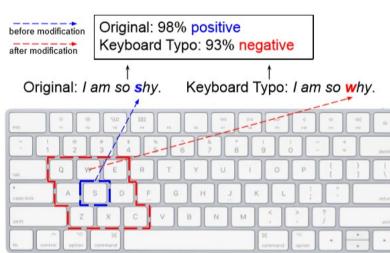
Bert: Shortcomings

- Bert's language modeling assumes independence among MASK tokens
 - Recall: Bert operates by MASKing some tokens, forcing the embeddings to reflect context
 - Problem: if multiple MASK tokens appear in a sentence, their ordering and relationship are assumed irrelevant by BERT

• "I have to fly from MASK₁ to MASK₂" <- wouldn't make sense if the MASKed tokens were

"Ithaca" and "Syracuse"

- Bert's input leverages WordPiece
 - Problem: Limited robustness against misspellings



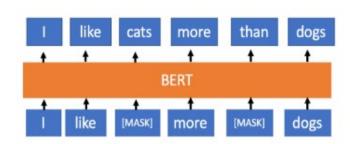
XLNet: Even more state-of-the-art?

- Eliminate independence assumption with "Permutation Language Modeling"
 - Basically, consider predictions of multiple permutations of words in a sequence
- Even more complex
 - The model learns multiple ways to predict each sequence given different parts of the context

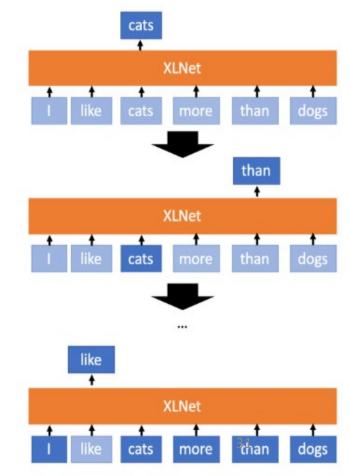
above. Specifically, we train on 512 TPU v3 chips for 500K steps with an Adam weight decay optimizer, linear learning rate decay, and a batch size of 8192, which takes about 5.5 days. It was

(that's \$160k to train)

(in contrast, Bert used 64 TPUs for 4 days for a "mere" \$14k)

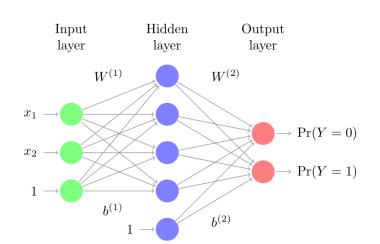






Multiple Models in NLU Pipeline

- Intent Classification is often performed with SVM or FastText models
 - Use Multi-class Support Vector Machine to decide amongst multiple intents
 - tf-idf, n-gram frequency, embeddings, all potential features for SVM
 - Binary classifier for every pair of intents
 - "is account_balance" vs. "is open_credit", etc.
 - Simple vote: increase an intent's class count by 1 each time it wins one of the binary classifiers; take the highest as the intent label
 - Advantage: SVM is fast to train and infer; accuracy > 90% on standard workloads
 - FastText used to classify sequences into "topics"
 - Just create "topics" to be intents
 - Model takes sequences of words as inputs, embeds them, trained to select among multiple classes
 - Advantage: Fast (with pretrained embeddings); more accurate
 - Robust against misspellings
 - Words embedded in 3-character sequences: Kevin becomes: <Ke, Kev, evi, vin, in>



Stateful Classification

• Clinc

- Each state associated with a separate intent classifier
 - Create an SVM/FastText model with each outgoing edge as a possible intent class
 - Advantage: State makes it easier to discern between intents
 - There are typically fewer intent classes to choose from in a given state

DialogFlow

- Coerce model outputs using Contexts
 - Classification model probabilities are changed based on Context
 - e.g., "2x more likely" to choose intent A over B in context C
 - Advantage: Reduced overall training (there's no per-state classifier to train)

Rasa

- Touted as "stateless"
 - You give it training data that captures state (e.g., which intents should come next)
 - Advantage: Purely example based. Rasa scales well as a resule

Slot Extraction

- Can be thought of as a Sequence-to-Sequence task
 - Turn an utterance into an IOB representation
 - Yo fam get me a burger.
 - O B:person O B:person O B:food
 - Embed words, train a model to learn how to predict I, O, or B for each token
- Clinc
 - Per-competency slot extraction
 - Currently using Glove embeddings (olde but fast)
 - Bert embeddings improve accuracy (but radically increase training time)
- DialogFlow and Rasa
 - Appears per-intent, although model details are not immediately obvious

Summary

- We talked about Bert
 - Transformer, Attention, and WordPiece
- We talked a bit more about models under the hood
 - SVM/FastText for robust intent classification
 - Bert-like DNN for slot filling
- Next class: Ethics in NLP
 - Why is Google biased?

